

M PTO-1595  
6-93)

Registration Form Cover Sheet  
(Patents Only)

U.S. Department of Commerce  
Patent and Trademark Office

Please record the attached original documents or copy thereof

Name of conveying party(ies):

Alessandro Sette  
John Sidney  
W. Martin Kast  
Scott Southwood

2. Name and address of receiving party(ies)

Name: Epimmune, Inc.

Internal Address:

Street Address: 6555 Nancy Ridge Drive, Suite 200

City: San Diego State: California ZIP: 92121

Additional name(s) of conveying parties attached? ☐ Yes  
☒ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Additional names and addresses attached? ☐ Yes ☒ No

Execution Date: 12/22/98, 12/21/98, 1/19/99, 12/22/98

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/189,702

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ellen Lauver Weber, Esq.  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account

☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Annette S. Parent  
Name of Person Signing

*Annette S. Parent*  
Signature

2/1/99  
Date

Atty Reg. No. 42,058

Total number of pages including cover sheet, attachments and document 6

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Alessandro Sette of 5551 Linda Rosa Avenue, La Jolla, CA 92037, John Sidney of 8541 D. Villa La Jolla Drive, La Jolla, CA 92037, W. Martin Kast of 201 Hill Road, Willowbrook, IL 60521 and Scott Southwood of 10679 Strathmore Drive, Santee, CA 92071, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: HLA BINDING PEPTIDES AND THEIR  
USES

Date(s) of execution of Declaration: 12/22/98, 12/21/98, 1/19/99; 12/22/98

Filing Date: 11/10/98

Application No.: 09/189,702; and

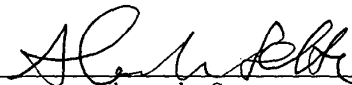
WHEREAS, Epimmune, Inc. a Delaware corporation, located at 6555 Nancy Ridge Drive, Suite 200, San Diego, CA, 92121, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

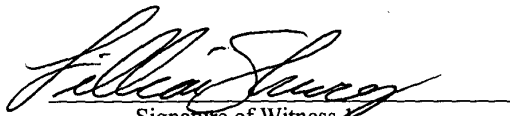
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 22<sup>nd</sup>, Dec, 1998

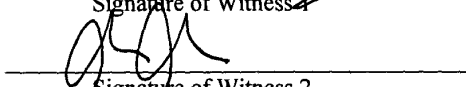
  
Alessandro Sette

SIGNATURE WITNESSED BY:

(1) Date: 12/22/98

  
Signature of Witness 1

(2) Date: 12/22/98

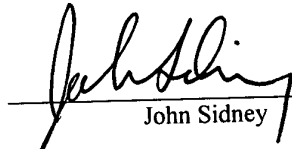
  
Signature of Witness 2

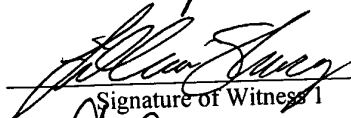
Dated: 12/21/98


SIGNATURE WITNESSED BY:

(1) Date: 12/21/98

(2) Date: 12/21/98

  
John Sidney

  
Signature of Witness 1

  
Signature of Witness 2

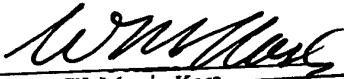
Assignment  
Application No.: 09/189,702  
Page 4

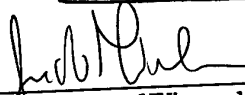
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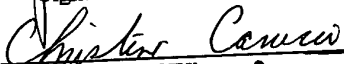
SIGNATURE WITNESSED BY:

(1) Date: 1/19/99

(2) Date: 1/19/99

  
\_\_\_\_\_  
W. Martin Kast

  
\_\_\_\_\_  
Signature of Witness 1

  
\_\_\_\_\_  
Signature of Witness 2

Dated: 12/22/98

Scott Southwood  
Scott Southwood

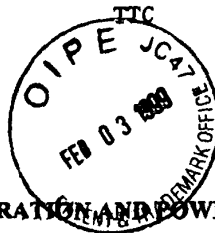
SIGNATURE WITNESSED BY:

(1) Date: 12/22/98

(2) Date: 12/22/98

[Signature]  
Signature of Witness 1

[Signature]  
Signature of Witness 2



Attorney Docket No.: 18623-134-1  
Client Reference No.: EPI 0134.10US

### DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **HLA BINDING PEPTIDES AND THEIR USES** the specification of which    is attached hereto or   x   was filed on 11/10/98 as Application No. 09/189,702 and was amended on            (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
08/205,713	March 4, 1994	pending

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Attorney Docket No.: 18623-134-1  
Client Reference No.: EPI 0134.10US

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	Kenneth A. Weber, Reg. No. 31,667
Epimmune, Inc.	P. Ben Wang, Reg. No. 41,420
Timothy J. Lithgow, M.D., J.D.	
Reg. No. 36,856	

Send Correspondence to:	Direct Telephone Calls to: (Name, Reg. No., Telephone No.)
Ellen Lauver Weber TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8 <sup>th</sup> Floor San Francisco, California 94111-3834	Name: Ellen Lauver Weber Reg. No.: 32,762 Telephone: 415-576-0200

Full Name of Inventor 1:	Last Name: SETTE	First Name: ALESSANDRO	Middle Name or Initial:	
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Full Name of Inventor 3:	Last Name: KAST	First Name: W.	Middle Name or Initial: MARTIN	
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Full Name of Inventor 5:	Last Name:	First Name:	Middle Name or Initial:	
Residence &	City:	State/Foreign Country:	Country of Citizenship:	



Attorney Docket No.: 18623-134-1  
 Client Reference No.: EPI 0134.10US

Citizenship:			US	
Post Office Address:	Post Office Address:	City:	State/Country:	Postal Code:
Full Name of Inventor 6:	Last Name:	First Name:	Middle Name r Initial:	
Residence & Citizenship:	City:	State/Foreign Country:	Country of Citizenship:	
Post Office Address:	Post Office Address:	City:	State/Country:	Postal Code:

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
<u>ALESSANDRO SETTE</u>	<u>JOHN SIDNEY</u>	<u>W. MARTIN KAST</u>
Date	Date	Date <u>1-19-99</u>
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor 6
<u>SCOTT SOUTHWOOD</u>		
Date	Date	Date